



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(211.004-US)

2818
JFW

Re Application of: **FERRANT ET AL.**

U.S. Application Serial No: **10/840,009**

U.S. Filing Date: **MAY 6, 2004**

Title: **SEMICONDUCTOR MEMORY DEVICE AND
METHOD OF OPERATING SAME**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

) Group Art Unit: **2818**

) Examiner:

I hereby certify that this correspondence
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Patents, P.O. Box 1450, Alexandria, VA
22313-1450 on August 16, 2004

Date
Michiko Sites
(person signing this certificate)

Michiko Sites
Signature

FIFTH INFORMATION DISCLOSURE STATEMENT

Dear Sir:

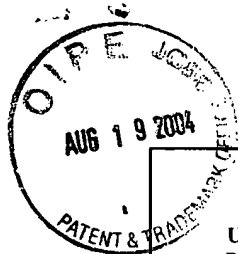
Submitted herewith are seven (7) sheets of modified Form PTO-1449. Electronic copies of the U.S. patents and published U.S. patent applications identified on the attached Form PTO-1449 are found on the attached compact disc ("CD"). Should the Examiner desire hard copies of the documents cited in the attached Form PTO-1449, kindly contact the undersigned.

It is respectfully requested that the Examiner make his/her consideration of these documents formally of record with the initial Office Action.

Respectfully submitted,

Date: August 16, 2004

Neil A. Steinberg
Reg. No. 34,735
650-968-8079



Sheet 1 of 1

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| PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT | ATTY. DOCKET NO. 211.004-US | SERIAL NUMBER 10/840,009 |
| | APPLICANT(S) Ferrant et al. | |
| | FILING DATE May 6, 2004 | GROUP ART UNIT 2818 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|----------------------|--------------------|---------|----------------|-------|--------------|----------------|
| | 2003/0168677 | 9/2003 | Hsu | | | |
| | 2003/0213994 | 11/2003 | Hayashi et al. | | | |
| | 2004/0041206 | 3/2004 | Bhattacharyya | | | |
| | 2004/0041208 | 3/2004 | Bhattacharyya | | | |
| | 2004/0042268 | 3/2004 | Bhattacharyya | | | |
| | 2004/0108532 | 6/2004 | Forbes | | | |
| | 6,661,042 | 12/2003 | Hsu | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES/NO | |
|----------------------|--------------------|------|---------|-------|--------------|-----------------------|--|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant. | |